

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/077,493 Confirmation No.: 5275

Applicant : Zongqin Xia et al. Filed : February 15, 2002

Art Unit : 1623

Examiner : Elli Peselev

Title : STEROIDAL SAPOGENINS AND THEIR DERIVATIVES FOR

TREATING ALZHEIMER'S DISEASE

Docket No.: : 380048-94 (HASEL-65749)

Customer No. : 24201

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to the duty of disclosure and 37 C.F.R. § 1.97(b), enclosed is Form PTO SB008A, listing art which may be material to patentability of the invention, together with copies of references listed therein. Applicant notes that the disclosed patent, U.S. Patent No. 6,593,301, is the now-issued U.S. National Stage version of International Application PCT/CN98/00214 (Publication No. WO 99/16786), which applicant previously disclosed in the Information Disclosure Statement dated November 5, 2003.

This paper should not be construed as a representation that a search was conducted or that the references listed are material to patentability.

Additionally, pursuant to Applicant's duty under the recent case of *Dayco Products. Inc.*v. *Total Containment Inc.*, 66 U.S.P.Q.2d 1801, 329 F.3d 1358 (Fed. Cir. 2003) Applicant brings to the Examiner's attention the status of the following cases which contain subject matter that could be considered similar to the subject of the present application:

Attorney Docket No.	Serial Number	STATUS		
380048-77 09/362,328 filed		Granted 7/10/01 as U.S. Patent No. 6,258,386		
	7/28/99			
380048-86	09/647,110 filed	Abandoned - All claims rejected.		
	1/11/01 - 371 of			
· ·	PCT/GB99/00951 filed			
	3/26/99			
380048-87	09/647,128 filed	Abandoned – All claims rejected.		
	1/11/01 - 371 of			
	PCT/GB99/00960 filed			
	3/26/99			
380048 - 92	09/866,234 filed	Abandoned – All claims rejected.		
	5/25/01			
	Div. of 09/362,328			
	filed 7/28/99			
	USP 6,258,386			
380048-94	10/077,493 filed	Pending – Various claims allowable		
(FPLU Ref.	02/15/2002, which is a			
HASEL-65749)	Cont. of 09/647,110			
	filed 01/11/01			
	Abandoned			
380048-95	10/228,153 filed	Abandoned – all claims rej cected.		
(FPLU Ref.	8/26/02	·		
HASEL-95933)	Cont. of 09/866,234			
	filed 5/25/01			
	which is Div. of			
	09/362,328	·		
	filed 7/28/99	•		
200040 06	USP 6,258,386	Panding Awaiting 1st Office Action		
380048-96	10/336,176 filed 1/3/03 Cont. of 09/647,128	Pending – Awaiting 1 st Office Action.		
(FPLU Ref.	filed 1/11/01			
HASEL-65934)	Abandoned			
HASEL-65949	Ser. No. unassigned	Pending – Awaiting 1 st Office Action.		
11/1/1/1/1/1/1/949	filed 10/20/03	1 chang – Awaring 1 Office Action.		
	Cont. of 10/228,153			
	filed 8/26/02	·		
	which is a Cont. of	1		
	09/866,234			
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	which is a Div. of			
·	09/362,328 filed			
	7/28/99			
	USP 6,258,386	· ·		

The above cases were previously disclosed in a prior IDS, but are being repeated here for the sake of completeness.

Additionally, applicant discloses the following additional cases which are being handled by a different law firm, but which contain subject matter which could be considered similar to the subject matter of the present application.

Attorney Haseltine Lake Reference	Serial Number	STATUS
P73395USPC	10/109,095 371 of WO-A-01/23406	Pending
P73396USPC	10/109,204 371 of WO-A-01/23407	Pending
P73397USPC	10/108,737 371 of WO-A-01/23408	Pending
P74076USPC	10/189,024 371 of WO-A-01/49703	Pending
P78629USPC	(Not yet known) 371 of WO-A-02/079221	Pending

No fees are seen as being necessary in connection with this paper. However, if any fees are due the Commissioner is authorized to charge such fees to Deposit Account No. 06-2425.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

DATED: January 26, 2004

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InelD Voelske

Régistration No. 37,957

JDV:rfl

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PTO/SB/08a (05-03)
Approved for use through 04/30/2003, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

to S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE of September 2015. Department of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet I of

Service of Valid Child Control Harris				
Complete if Known				
Application Number	10/077,493			
Filing Date	February 15, 2002			
First Named Inventor	Zongqin XIA			
Art Unit	1623			
Examiner Name	Elli Peselev			
Attorney Docket Number	380048-94 (HASEL-65749)			

U.S. PATENT DOCUMENTS							
	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	No.1	Number Kind Code (if known)	MM-DD-YYYY	Applicant of Cited Document			
		US- 6,593,301	07/15/2003	Ma, et al.			
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	FOREIGN PATENT DOCUMENTS							
Examiner	Cite		Foreign Patent Do	cument	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials*	No.1	Office ³	Number ⁴	Kind⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document		T ⁶
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Examiner	Date	
Signature	Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (option al). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.